### PATENT COOPERATION TREATY

## **PCT**

REC'D 2 2 MAR 2006

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

|  | file reference  | FOR FURTHER ACTION  | See Form PCT/IPEA/416   |
|--|---|---|---|
| PC1/0S2005/003357 04.02.2  |   | International filing date (day/mont) 04.02.2005   | h/year) Priority date (day/month/year) 06.02.2004   |
| International Patent CINV. H01M8/02 H                              | lassification (IPC) or<br>01M8/04 H01M8/  | national classification and IPC<br>10   |   |
| Applicant<br>NUCELLSys GME   | 3H et al.   |   |   |
|  |   | applicant accordi   | ablished by this International Preliminary Examining ng to Article 36.  |
| 2. This REPORT   | consists of a total   | of 5 sheets, including this cover s   | sheet.  |
| 3. This report is a  | ilso accompanied l  | by ANNEXES, comprising:   |   |
| a. ⊔ sent to   | the applicant and t   | o the International Bureau) a total   | of sheets, as follows:  |
| ⊔ sne<br>and<br>Adr  | eets of the descripti<br>d/or sheets containi<br>ministrative Instruct                                | ion, claims and/or drawings which<br>ng rectifications authorized by this<br>tions).  | have been amended and are the basis of this report<br>s Authority (see Rule 70.16 and Section 607 of the                        |
| ☐ she<br>bey<br>Sup  | eets which supersectiond the disclosure oplemental Box.   | de earlier sheets, but which this A<br>in the international application as  | outhority considers contain an amendment that goes of filed, as indicated in item 4 of Box No. I and the                        |
| b. □ <i>(sent to</i><br>sequenc<br>Relating                        | the International B<br>ce listing and/or tab<br>to Sequence Listi                                     | tureau only) a total of (indicate typ<br>bles related thereto, in celectronic<br>ng (see Section 802 of the Admini  | be and number of electronic carrier(s)) , containing a form only, as indicated in the Supplemental Box istrative Instructions). |
| 4. This report con   | tains indications re  | lating to the following items:  |   |
| ☑ Box No. I  | Basis of the repo   | ort   |   |
| ☐ Box No. II   | Priority  | •   |   |
| P  | Non-establishme   | ent of opinion with regard to novel   |   |
| ☐ Box No. III  |   |   | ty inventive eten and in the state  |
| ☐ Box No. IV   | Lack of unity of i  | nvention  | ty, inventive step and industrial applicability   |
| ☐ Box No. IV<br>図 Box No. V  | Reasoned stater applicability; cita   | กvention<br>ทent under Article 35(2) with rega<br>tions and explanations supporting   | and to mount to the   |
| ☐ Box No. IV<br>図 Box No. V<br>☐ Box No. VI                        | Reasoned stater applicability; cita   | กvention<br>nent under Article 35(2) with rega<br>tions and explanations supporting<br>nts cited  | and to mount to the   |
| ☐ Box No. IV ☐ Box No. V ☐ Box No. VI ☐ Box No. VII                | Reasoned stater applicability; citar Certain documer  | nvention<br>ment under Article 35(2) with rega<br>tions and explanations supporting<br>nts cited<br>n the international application                                 | ard to novelty, inventive step or industrial such statement   |
| ☐ Box No. IV ☐ Box No. V ☐ Box No. VI ☐ Box No. VII                | Reasoned stater applicability; citar Certain documer  | กvention<br>nent under Article 35(2) with rega<br>tions and explanations supporting<br>nts cited  | ard to novelty, inventive step or industrial such statement   |
| ☐ Box No. IV ☐ Box No. V ☐ Box No. VI ☐ Box No. VII ☐ Box No. VIII | Reasoned stater applicability; citar Certain documer Certain defects in Certain observation           | nvention ment under Article 35(2) with rega tions and explanations supporting nts cited n the international application ions on the international applicatio        | ard to novelty, inventive step or industrial<br>such statement  |
| Box No. IV Box No. V  Box No. VI Box No. VII Box No. VIII          | Reasoned stater applicability; citar Certain documer Certain defects in Certain observation           | nvention ment under Article 35(2) with rega tions and explanations supporting nts cited n the international application ions on the international applicatio        | grd to novelty, inventive step or industrial graces such statement on mpletion of this report                                   |
| ☐ Box No. IV ☐ Box No. V ☐ Box No. VI ☐ Box No. VII                | Reasoned stater applicability; citar Certain documer Certain defects in Certain observational edemand | ment under Article 35(2) with regations and explanations supporting ats cited and the international application ions on the international application.  Date of cor | grid to novelty, inventive step or industrial grace statement on mpletion of this report  |

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/US2005/003357

| -  |  | ou M. I. D. J. All  |
|----|--|---|
| -  |  | ox No. I Basis of the report  |
| 1  | . W  | ith regard to the <b>language</b> , this report is based on the international application in the language in which it wa<br>ed, unless otherwise indicated under this item.   |
|    |  | which is the language of a translation furnished for the purposes of:   |
|    |  | <ul> <li>□ international search (under Rules 12.3 and 23.1(b))</li> <li>□ publication of the international application (under Rule 12.4)</li> <li>□ international preliminary examination (under Rules 55.2 and/or 55.3)</li> </ul> |
| 2. | 2. With regard to the elements* of the international application, this report is based on (replacement sheets have been furnished to the receiving Office in response to an invitation under Article 14 are referred to ir report as "originally filed" and are not annexed to this report): |   |
|    | De   | scription, Pages  |
|    | 1-8  | as originally filed   |
|    | Cla  | aims, Numbers   |
|    | 1-1  | 5 as originally filed   |
|    | Dra  | awings, Sheets  |
|    | 1/1  | as originally filed   |
|    |  | a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing  |
| 3. |  | The amendments have resulted in the cancellation of:  |
|    |  | ☐ the description, pages ☐ the claims, Nos.   |
|    |  | ☐ the drawings, sheets/figs   |
|    |  | ☐ the sequence listing (specify): ☐ any table(s) related to sequence listing (specify):   |
| 4. | had  | This report has been established as if (some of) the amendments annexed to this report and listed below plemental Box (Rule 70.2(c)).   |
|    |  | ☐ the description, pages ☐ the claims, Nos.   |
|    |  | ☐ the drawings, sheets/figs   |
|    |  | ☐ the sequence listing (specify): ☐ any table(s) related to sequence listing (specify):   |
|    | *  | If item 4 applies, some or all of these sheets may be marked "superseded."  |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/US2005/003357

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

4, 6-11, 13-15

No:

Claims

1, 2, 3, 5, 12

Inventive step (IS)

Yes: Claims

4, 9-11, 13-15

No: Claims

1-3, 5-8, 12

Industrial applicability (IA)

Yes: Claims

1-15

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

#### 1. Cited Documents

D1: EP-A-1 113 516 (GENERAL MOTORS CORPORATION) 4 July 2001 (2001-07-04)

D2: US-A-6 068 941 (FULLER ET AL) 30 May 2000 (2000-05-30)

D3: US-A-6 127 056 (WHEELER ET AL) 3 October 2000 (2000-10-03)

D4: PATENT ABSTRACTS OF JAPAN vol. 013, no. 038 (E-709), 27 January 1989 (1989-01-27) & JP 63 236262 A (HITACHI LTD), 3 October 1988 (1988-10-03)

#### 2. Novelty

The subject-matter of claims 1-3, 5, 6 and 12 is not considered to be novel, Article 33 (1) and (2) PCT.

Document D1 discloses a method to cold-start a fuel cell, wherein oxygen and hydrogen are supplied to the cell, so that the reacted fuel causes the fuel cell to heat up. Reaching a temperature of -20°C electrical current is drawn from the cell to supply additional heating devices (e.g. IR heating). A combustor heats the coolant which circulates by use of a pump through the stack. After reaching a preset temperature heating of the coolant is discontinued (column 5, 0016).

Document D2 discloses a method to cold-start a fuel cell. During shut down of the fuel cell alcohol is added to the coolant passages to protect the system from freezing. Upon start-up oxygen is supplied to the fuel cell and alcohol from the coolant passages diffuses to cathode and start a fuel cell reaction producing heat. A battery supplies energy in the beginning of the start-up period to a fan and pump to let the fuel cell heat further. Once electrical current is drawn from the cell auxiliary devices like the pump can be supplied by the fuel cell itself.

#### 3. Inventive Step

The subject-matter of claims 4 and 7-8 is not considered to be based on an inventive step,

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

PCT/US2005/003357

Article 33 (3) PCT.

- 3.1 The technical problem underlying the present application can be seen in providing a method to cold-start a fuel cell allowing the system to be more compact and to contain a battery with lower storage capacity.
- 3.2 This problem is solved in the prior art and solved there in a similar way, see point 2.
- 3.3 The capacity (claim 4) at which the cold fuel cell stack is operated is especially linked to avoid damages and is not considered to provide any inventive matter.
- 3.4 The subject-matter of claim 7 and 8 is not considered as inventive since the every burner needs fuel and it is obvious for a skilled person the supply fuel which is already present at the system.